

FedRAMP High Control Implementation Summary (CIS) Worksheet

Control ID	Implementation Status					Control Origination						
	Implemented	Partially Implemented	Planned	Alternative Implementation	N/A	Service Provider Corporate	Service Provider System Specific	Service Provider Hybrid (Corporate and System Specific)	Configured by Customer (Customer System Specific)	Provided by Customer (Customer System Specific)	Shared (Service Provider and Customer Responsibility)	Inherited from Pre-Existing Authorization
AC-1(a)	X							X				
AC-1(b)	X							X				
AC-1(c)	X							X				
AC-2(a)	X						X					X
AC-2(b)	X						X					X
AC-2(c)	X						X					X
AC-2(d)	X						X					X
AC-2(e)	X						X		X		X	X
AC-2(f)	X						X		X		X	X
AC-2(g)	X						X		X		X	X
AC-2(h)	X						X		X		X	X
AC-2(i)	X						X					X
AC-2(j)	X						X					X
AC-2(k)	X						X					X
AC-2(l)	X						X					X
AC-2(1)	X						X		X		X	X
AC-2(2)	X						X		X		X	
AC-2(3)(a)	X						X		X		X	X
AC-2(3)(b)	X						X		X		X	X
AC-2(3)(c)	X						X		X		X	
AC-2(3)(d)	X						X		X		X	
AC-2(4)	X						X		X		X	X
AC-2(5)				X			X		X		X	X
AC-2(7)(a)	X						X		X		X	
AC-2(7)(b)	X						X		X		X	
AC-2(7)(c)	X						X		X		X	
AC-2(7)(d)	X						X		X		X	
AC-2(9)	X						X		X		X	
AC-2(11)	X						X		X		X	X
AC-2(12)(a)	X						X		X		X	
AC-2(12)(b)	X						X		X		X	
AC-2(13)	X						X					
AC-3	X						X		X		X	X
AC-4	X						X		X		X	X
AC-4(4)	X						X					X
AC-4(21)	X						X		X		X	X
AC-5(a)	X						X		X		X	
AC-5(b)	X						X		X		X	
AC-6	X							X	X		X	
AC-6(1)(a)	X							X				
AC-6(1)(b)	X							X				
AC-6(2)	X							X				
AC-6(3)	X							X				
AC-6(5)	X							X			X	
AC-6(7)(a)	X						X					
AC-6(7)(b)	X						X					
AC-6(8)	X						X					
AC-6(9)	X						X		X		X	
AC-6(10)	X						X					
AC-7(a)	X						X		X		X	X
AC-7(b)	X						X		X		X	X
AC-8(a)	X						X		X		X	X
AC-8(b)	X						X		X		X	X
AC-8(c)					X							
AC-10	X						X		X		X	
AC-11(a)	X						X		X		X	X
AC-11(b)	X						X		X		X	X

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AC-11(1)	X						X		X	X	X	X
AC-12				X			X		X	X	X	X
AC-14(a)	X						X				X	
AC-14(b)	X						X				X	
AC-17(a)	X							X	X		X	X
AC-17(b)	X							X	X		X	X
AC-17(1)	X						X		X		X	X
AC-17(2)	X						X					X
AC-17(3)	X						X					X
AC-17(4)(a)	X						X					X
AC-17(4)(b)	X						X					X
AC-18(a)	X						X					X
AC-18(b)	X						X					X
AC-18(1)	X						X					X
AC-18(3)	X						X					X
AC-18(4)	X						X					X
AC-18(5)	X						X					X
AC-19(a)	X						X					X
AC-19(b)	X						X					X
AC-19(5)	X						X					X
AC-20(a)	X						X			X		
AC-20(b)	X						X			X		
AC-20(1)(a)	X						X			X		
AC-20(1)(b)	X						X			X		
AC-20(2)	X						X					X
AC-21(a)	X						X			X		
AC-21(b)	X						X			X		
AC-22(a)	X						X					
AC-22(b)	X						X					
AC-22(c)	X						X					
AC-22(d)	X						X					
AT-1(a)	X							X				
AT-1(b)	X							X				
AT-1(c)	X							X				
AT-2(a)	X					X			X		X	
AT-2(b)	X					X			X		X	
AT-2(c)	X					X			X		X	
AT-2(d)	X					X			X		X	
AT-2(2)	X					X			X		X	
AT-2(3)	X					X			X		X	
AT-3(a)	X					X			X		X	
AT-3(b)	X					X			X		X	
AT-3(c)	X					X			X		X	
AT-4(a)	X					X		X	X		X	
AT-4(b)	X					X			X		X	
AU-1(a)	X							X				
AU-1(b)	X							X				
AU-1(c)	X							X				
AU-2(a)	X						X					
AU-2(b)	X						X					
AU-2(c)	X						X					
AU-2(d)	X						X					
AU-2(e)	X						X					
AU-3(a)	X											X
AU-3(b)	X											X
AU-3(c)	X											X
AU-3(d)	X											X
AU-3(e)	X											X
AU-3(f)	X											X
AU-3(1)	X											X

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AU-4	X						X		X	X		X
AU-5(a)	X						X		X	X		
AU-5(b)	X						X		X	X		
AU-5(1)	X						X					X
AU-5(2)	X						X					
AU-6(a)	X						X		X	X		
AU-6(b)	X						X		X	X		
AU-6(c)	X						X		X	X		
AU-6(1)	X						X		X	X		
AU-6(3)	X						X		X	X		
AU-6(4)	X						X		X	X		
AU-6(5)	X						X					
AU-6(6)	X											X
AU-6(7)	X						X					
AU-7(a)	X						X					
AU-7(b)	X						X					
AU-7(1)	X						X					
AU-8(a)	X						X					X
AU-8(b)	X						X					X
AU-9(a)	X						X					
AU-9(b)	X						X					
AU-9(2)	X						X					X
AU-9(3)	X						X					
AU-9(4)	X						X					
AU-10	X						X					
AU-11	X						X					
AU-12(a)	X						X		X	X		X
AU-12(b)	X						X		X	X		X
AU-12(c)	X						X		X	X		X
AU-12(1)	X						X					X
AU-12(3)	X						X					
CA-1(a)	X							X				
CA-1(b)	X							X				
CA-1(c)	X							X				
CA-2(a)	X						X					
CA-2(b)	X						X					
CA-2(c)	X						X					
CA-2(d)	X						X					
CA-2(e)	X						X					
CA-2(f)	X						X					
CA-2(1)	X						X					
CA-2(2)	X						X					
CA-2(3)	X						X					
CA-3(a)	X						X			X		
CA-3(b)	X						X					
CA-3(c)	X						X					
CA-3(6)	X						X					
CA-5(a)	X						X					
CA-5(b)	X						X					
CA-6(a)	X						X					
CA-6(b)	X						X					
CA-6(c)	X						X					
CA-6(d)	X						X					
CA-6(e)	X						X					
CA-7(a)	X						X					
CA-7(b)	X						X					
CA-7(c)	X						X					
CA-7(d)	X						X					
CA-7(e)	X						X					
CA-7(f)	X						X					

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CA-7(g)	X						X					
CA-7(1)	X						X					
CA-7(4)(a)	X						X					
CA-7(4)(b)	X						X					
CA-7(4)(c)	X						X					
CA-8	X						X					
CA-8(1)	X						X					
CA-8(2)	X						X					
CA-9(a)	X						X					
CA-9(b)	X						X					
CA-9(c)	X						X					
CA-9(d)	X						X					
CM-1(a)	X							X				
CM-1(b)	X							X				
CM-1(c)	X							X				
CM-2(a)	X						X					
CM-2(b)	X						X					
CM-2(2)	X						X					X
CM-2(3)	X						X					X
CM-2(7)(a)	X						X					X
CM-2(7)(b)	X						X					X
CM-3(a)			X					X				
CM-3(b)			X					X				
CM-3(c)			X					X				
CM-3(d)			X					X				
CM-3(e)			X					X				
CM-3(f)			X					X				
CM-3(g)			X					X				
CM-3(1)(a)	X							X				
CM-3(1)(b)	X							X				
CM-3(1)(c)	X							X				
CM-3(1)(d)	X							X				
CM-3(1)(e)	X							X				
CM-3(1)(f)	X							X				
CM-3(2)	X							X				
CM-3(4)	X					X						
CM-3(6)	X							X				
CM-4	X						X					
CM-4(1)	X							X				
CM-4(2)	X						X					
CM-5	X						X					X
CM-5(1)(a)	X						X					X
CM-5(1)(b)	X						X					X
CM-5(5)(a)	X						X					X
CM-5(5)(b)	X						X					X
CM-6(a)	X						X					X
CM-6(b)	X						X					X
CM-6(c)	X						X					X
CM-6(d)	X						X					X
CM-6(1)	X						X					
CM-6(2)	X						X					
CM-7(a)	X						X					
CM-7(b)	X						X					
CM-7(1)(a)	X						X					
CM-7(1)(b)	X						X					
CM-7(2)	X						X		X	X		
CM-7(5)(a)	X						X		X	X		
CM-7(5)(b)	X						X		X	X		
CM-7(5)(c)	X						X		X	X		
CM-8(a)	X						X					

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	Implemented	Partially Implemented	Planned	Alternative Implementation	N/A	Service Provider Corporate	Service Provider System Specific	Service Provider Hybrid (Corporate and System Specific)	Configured by Customer (Customer System Specific)	Provided by Customer (Customer System Specific)	Shared (Service Provider and Customer Responsibility)	Inherited from Pre-Existing Authorization
CM-8(b)	X						X					
CM-8(1)	X						X					
CM-8(2)	X						X					
CM-8(3)(a)	X							X	X	X		
CM-8(3)(b)	X							X	X	X		
CM-8(4)	X						X					
CM-9(a)	X						X					
CM-9(b)	X						X					
CM-9(c)	X						X					
CM-9(d)	X						X					
CM-9(e)	X						X					
CM-10(a)	X						X					
CM-10(b)	X						X					
CM-10(c)	X						X					
CM-11(a)	X						X	X	X	X		
CM-11(b)	X						X	X	X	X		
CM-11(c)	X						X	X	X	X		
CM-12(a)	X						X					
CM-12(b)	X						X					
CM-12(c)	X						X					
CM-12(1)			X				X					
CM-14	X						X					
CP-1(a)	X							X				
CP-1(b)	X							X				
CP-1(c)	X							X				
CP-2(a)	X					X	X	X				
CP-2(b)	X						X					
CP-2(c)	X						X					
CP-2(d)	X						X					
CP-2(e)	X						X					
CP-2(f)	X						X					
CP-2(g)	X						X					
CP-2(h)	X						X					
CP-2(1)	X						X					
CP-2(2)	X						X					X
CP-2(3)	X						X			X		X
CP-2(5)	X						X			X		X
CP-2(8)	X						X					
CP-3(a)	X						X					
CP-3(b)	X						X					
CP-3(1)	X						X					
CP-4(a)	X						X					
CP-4(b)	X						X					
CP-4(c)	X						X					
CP-4(1)	X						X					
CP-4(2)(a)	X					X	X	X				X
CP-4(2)(b)	X					X	X	X				X
CP-6(a)	X						X	X		X		X
CP-6(b)	X						X	X		X		X
CP-6(1)	X						X	X		X		X
CP-6(2)	X						X	X		X		X
CP-6(3)	X						X	X		X		X
CP-7(a)	X						X	X		X		X
CP-7(b)	X						X	X		X		X
CP-7(c)	X						X	X		X		X
CP-7(1)	X						X	X		X		X
CP-7(2)	X						X	X		X		X
CP-7(3)	X						X	X		X		X
CP-7(4)	X						X	X		X		X
CP-8	X						X	X		X		X

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CP-8(1)(a)	X						X		X		X	X
CP-8(1)(b)	X						X		X		X	X
CP-8(2)	X						X		X		X	X
CP-8(3)	X						X		X		X	X
CP-8(4)(a)	X						X		X		X	X
CP-8(4)(b)	X						X		X		X	X
CP-8(4)(c)	X						X		X		X	X
CP-9(a)	X						X		X		X	X
CP-9(b)	X						X		X		X	X
CP-9(c)	X						X		X		X	X
CP-9(d)	X						X		X		X	X
CP-9(1)	X							X	X		X	X
CP-9(2)	X						X					
CP-9(3)	X						X		X		X	X
CP-9(5)	X						X					X
CP-9(8)	X						X					X
CP-10	X						X		X		X	X
CP-10(2)	X						X					X
CP-10(4)	X						X					X
IA-1(a)	X							X				
IA-1(b)	X							X				
IA-1(c)	X							X				
IA-2	X						X		X		X	X
IA-2(1)	X						X		X		X	X
IA-2(2)	X						X		X		X	X
IA-2(5)	X						X		X		X	X
IA-2(6)(a)	X						X					
IA-2(6)(b)	X						X					
IA-2(8)	X						X		X		X	X
IA-2(12)	X						X		X		X	
IA-3	X						X					X
IA-4(a)	X						X		X		X	X
IA-4(b)	X						X		X		X	X
IA-4(c)	X						X		X		X	X
IA-4(d)	X						X		X		X	X
IA-4(4)	X						X		X		X	
IA-5(a)	X						X		X		X	X
IA-5(b)	X						X		X		X	X
IA-5(c)	X						X		X		X	X
IA-5(d)	X						X		X		X	X
IA-5(e)	X						X		X		X	X
IA-5(f)	X						X		X		X	X
IA-5(g)	X						X		X		X	X
IA-5(h)	X						X		X		X	X
IA-5(i)	X						X		X		X	X
IA-5(1)(a)	X						X		X		X	X
IA-5(1)(b)	X						X		X		X	X
IA-5(1)(c)	X						X		X		X	X
IA-5(1)(d)	X						X		X		X	X
IA-5(1)(e)	X						X		X		X	X
IA-5(1)(f)	X						X		X		X	X
IA-5(1)(g)	X						X		X		X	X
IA-5(1)(h)	X						X		X		X	X
IA-5(2)(a)	X						X					
IA-5(2)(b)	X						X					
IA-5(6)	X						X		X		X	
IA-5(7)	X						X		X		X	
IA-5(8)	X						X		X		X	X
IA-5(13)	X						X					
IA-6	X						X		X		X	X

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IA-7	X						X		X	X		X
IA-8	X						X		X	X		
IA-8(1)	X						X		X	X		
IA-8(2)(a)	X						X		X	X		
IA-8(2)(b)	X						X		X	X		
IA-8(4)	X						X		X	X		
IA-11				X		X						
IA-12(a)	X							X				
IA-12(b)	X							X				
IA-12(c)	X							X				
IA-12(2)	X							X				
IA-12(3)	X							X				
IA-12(4)	X							X				
IA-12(5)	X					X						
IR-1(a)	X							X				
IR-1(b)	X							X				
IR-1(c)	X							X				
IR-2(a)	X						X					
IR-2(b)	X						X					
IR-2(1)	X							X				
IR-2(2)	X							X				
IR-3	X						X					
IR-3(2)	X						X	X				
IR-4(a)	X						X					
IR-4(b)	X						X					
IR-4(c)	X						X					
IR-4(d)	X						X					
IR-4(1)	X							X				
IR-4(2)	X						X					
IR-4(4)	X							X		X		
IR-4(6)	X						X					
IR-4(11)	X							X				
IR-5	X						X	X				
IR-5(1)	X						X					
IR-6(a)	X						X		X	X		
IR-6(b)	X						X		X	X		
IR-6(1)	X						X					
IR-6(3)	X						X	X				
IR-7	X						X	X				
IR-7(1)	X						X	X				
IR-8(a)	X						X	X				
IR-8(b)	X						X	X				
IR-8(c)	X						X	X				
IR-8(d)	X						X	X				
IR-8(e)	X						X	X				
IR-9(a)	X						X	X				
IR-9(b)	X						X	X				
IR-9(c)	X						X	X				
IR-9(d)	X						X	X				
IR-9(e)	X						X	X				
IR-9(f)	X						X	X				
IR-9(g)	X						X	X				
IR-9(2)	X						X					
IR-9(3)	X						X					
IR-9(4)	X						X					
MA-1(a)	X							X				
MA-1(b)	X							X				
MA-1(c)	X							X				
MA-2(a)	X											X
MA-2(b)	X											X

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MA-2(c)	X											X
MA-2(d)	X											X
MA-2(e)	X											X
MA-2(f)	X											X
MA-2(2)(a)	X											X
MA-2(2)(b)	X											X
MA-3(a)	X											X
MA-3(b)	X											X
MA-3(1)	X											X
MA-3(2)	X											X
MA-3(3)(a)	X											X
MA-3(3)(b)	X											X
MA-3(3)(c)	X											X
MA-3(3)(d)	X											X
MA-4(a)	X						X					X
MA-4(b)	X						X					X
MA-4(c)	X						X					X
MA-4(d)	X						X					X
MA-4(e)	X						X					X
MA-4(3)(a)	X					X	X					X
MA-4(3)(b)	X					X	X					X
MA-5(a)	X											X
MA-5(b)	X											X
MA-5(c)	X											X
MA-5(1)(a)	X											X
MA-5(1)(b)	X											X
MA-6	X											X
MP-1(a)	X							X				
MP-1(b)	X							X				
MP-1(c)	X							X				
MP-2	X					X	X	X				X
MP-3(a)	X					X	X	X				X
MP-3(b)	X					X	X	X				X
MP-4(a)	X					X	X	X				X
MP-4(b)	X					X	X	X				X
MP-5(a)	X					X	X	X				X
MP-5(b)	X					X	X	X				X
MP-5(c)	X					X	X	X				X
MP-5(d)	X					X	X	X				X
MP-6(a)	X					X	X	X				X
MP-6(b)	X					X	X	X				X
MP-6(1)	X					X	X	X				X
MP-6(2)	X					X	X	X				X
MP-6(3)	X					X	X	X				X
MP-7(a)	X					X	X	X				X
MP-7(b)	X					X	X	X				X
PE-1(a)	X							X				
PE-1(b)	X							X				
PE-1(c)	X							X				
PE-2(a)	X											X
PE-2(b)	X											X
PE-2(c)	X											X
PE-2(d)	X											X
PE-3(a)	X											X
PE-3(b)	X											X
PE-3(c)	X											X
PE-3(d)	X											X
PE-3(e)	X											X
PE-3(f)	X											X
PE-3(g)	X											X

Control ID	Implementation Status					Control Origination						
	Implemented	Partially Implemented	Planned	Alternative Implementation	N/A	Service Provider Corporate	Service Provider System Specific	Service Provider Hybrid (Corporate and System Specific)	Configured by Customer (Customer System Specific)	Provided by Customer (Customer System Specific)	Shared (Service Provider and Customer Responsibility)	Inherited from Pre-Existing Authorization
PE-3(1)	X											X
PE-4	X											X
PE-5	X											X
PE-6(a)	X											X
PE-6(b)	X											X
PE-6(c)	X											X
PE-6(1)	X											X
PE-6(4)	X											X
PE-8(a)	X											X
PE-8(b)	X											X
PE-8(c)	X											X
PE-8(1)	X											X
PE-9	X											X
PE-10(a)	X											X
PE-10(b)	X											X
PE-10(c)	X											X
PE-11	X											X
PE-11(1)	X											X
PE-12	X											X
PE-13	X											X
PE-13(1)	X											X
PE-13(2)(a)	X											X
PE-13(2)(b)	X											X
PE-14(a)	X											X
PE-14(b)	X											X
PE-14(2)	X											X
PE-15	X											X
PE-15(1)	X											X
PE-16(a)	X											X
PE-16(b)	X											X
PE-17(a)	X											X
PE-17(b)	X											X
PE-17(c)	X											X
PE-17(d)	X											X
PE-18	X											X
PL-1(a)	X							X				
PL-1(b)	X							X				
PL-1(c)	X							X				
PL-2(a)	X						X					
PL-2(b)	X						X					
PL-2(c)	X						X					
PL-2(d)	X						X					
PL-2(e)	X						X					
PL-4(a)	X							X				
PL-4(b)	X							X				
PL-4(c)	X							X				
PL-4(d)	X							X				
PL-4(1)(a)	X							X				
PL-4(1)(b)	X							X				
PL-4(1)(c)	X							X				
PL-8(a)	X						X					
PL-8(b)	X						X					
PL-8(c)	X						X					
PL-10	X						X					
PL-11	X						X					
PS-1(a)	X							X				
PS-1(b)	X							X				
PS-1(c)	X							X				
PS-2(a)	X							X				
PS-2(b)	X							X				

Control ID	Implementation Status					Control Origination						
	Implemented	Partially Implemented	Planned	Alternative Implementation	N/A	Service Provider Corporate	Service Provider System Specific	Service Provider Hybrid (Corporate and System Specific)	Configured by Customer (Customer System Specific)	Provided by Customer (Customer System Specific)	Shared (Service Provider and Customer Responsibility)	Inherited from Pre-Existing Authorization
PS-2(c)	X							X				
PS-3(a)	X							X				
PS-3(b)	X							X				
PS-3(3)(a)	X							X				
PS-3(3)(b)	X							X				
PS-4(a)	X					X						
PS-4(b)	X					X						
PS-4(c)	X					X						
PS-4(d)	X					X						
PS-4(e)	X					X						
PS-4(2)	X					X						
PS-5(a)	X					X						
PS-5(b)	X					X						
PS-5(c)	X					X						
PS-5(d)	X					X						
PS-6(a)	X							X				
PS-6(b)	X							X				
PS-6(c)	X							X				
PS-7(a)	X					X						
PS-7(b)	X					X						
PS-7(c)	X					X						
PS-7(d)	X					X						
PS-7(e)	X					X						
PS-8(a)	X					X						
PS-8(b)	X					X						
PS-9	X					X						
RA-1(a)	X							X				
RA-1(b)	X							X				
RA-1(c)	X							X				
RA-2(a)	X						X					
RA-2(b)	X						X					
RA-2(c)	X						X					
RA-3(a)	X						X					
RA-3(b)	X						X					
RA-3(c)	X						X					
RA-3(d)	X						X					
RA-3(e)	X						X					
RA-3(f)	X						X					
RA-3(1)(a)	X						X					
RA-3(1)(b)	X						X					
RA-5(a)	X						X	X	X	X	X	
RA-5(b)	X						X					X
RA-5(c)	X						X					X
RA-5(d)	X						X					X
RA-5(e)	X						X					X
RA-5(f)	X						X					X
RA-5(2)	X						X					X
RA-5(3)	X						X					X
RA-5(4)	X							X				
RA-5(5)	X						X					X
RA-5(8)	X						X		X	X		
RA-5(11)	X						X					
RA-7	X						X					
RA-9	X						X					
SA-1(a)	X							X				
SA-1(b)	X							X				
SA-1(c)	X							X				
SA-2(a)	X						X					
SA-2(b)	X						X					
SA-2(c)	X						X					

Control ID	Implementation Status					Control Origination						
	Implemented	Partially Implemented	Planned	Alternative Implementation	N/A	Service Provider Corporate	Service Provider System Specific	Service Provider Hybrid (Corporate and System Specific)	Configured by Customer (Customer System Specific)	Provided by Customer (Customer System Specific)	Shared (Service Provider and Customer Responsibility)	Inherited from Pre-Existing Authorization
SA-3(a)	X						X					
SA-3(b)	X						X					
SA-3(c)	X						X					
SA-3(d)	X						X					
SA-4(a)	X							X				
SA-4(b)	X							X				
SA-4(c)	X							X				
SA-4(d)	X							X				
SA-4(e)	X							X				
SA-4(f)	X							X				
SA-4(g)	X							X				
SA-4(h)	X							X				
SA-4(i)	X							X				
SA-4(1)	X						X					
SA-4(2)	X						X					
SA-4(5)(a)	X						X					
SA-4(5)(b)	X						X					
SA-4(9)	X						X					
SA-4(10)	X						X		X			
SA-5(a)	X						X					
SA-5(b)	X						X					
SA-5(c)	X						X					
SA-5(d)	X						X					
SA-8	X						X					
SA-9(a)	X						X					
SA-9(b)	X						X					
SA-9(c)	X						X					
SA-9(1)(a)	X						X					
SA-9(1)(b)	X						X					
SA-9(2)	X						X					
SA-9(5)	X						X				X	
SA-10(a)	X						X					
SA-10(b)	X						X					
SA-10(c)	X						X					
SA-10(d)	X						X					
SA-10(e)	X						X					
SA-11(a)	X						X					
SA-11(b)	X						X					
SA-11(c)	X						X					
SA-11(d)	X						X					
SA-11(e)	X						X					
SA-11(1)	X						X					
SA-11(2)(a)	X						X					
SA-11(2)(b)	X						X					
SA-11(2)(c)	X						X					
SA-11(2)(d)	X						X					
SA-15(a)	X						X					
SA-15(b)	X						X					
SA-15(3)(a)	X						X					
SA-15(3)(b)	X						X					
SA-16	X						X					
SA-17(a)	X						X					
SA-17(b)	X						X					
SA-17(c)	X						X					
SA-21(a)	X						X					
SA-21(b)	X						X					
SA-22(a)	X						X					
SA-22(b)	X						X					
SC-1(a)	X							X				
SC-1(b)	X							X				

Control ID	Implementation Status					Control Origination						
	Implemented	Partially Implemented	Planned	Alternative Implementation	N/A	Service Provider Corporate	Service Provider System Specific	Service Provider Hybrid (Corporate and System Specific)	Configured by Customer (Customer System Specific)	Provided by Customer (Customer System Specific)	Shared (Service Provider and Customer Responsibility)	Inherited from Pre-Existing Authorization
SC-1(c)	X							X				
SC-2	X						X					
SC-3	X						X					X
SC-4	X						X					
SC-5(a)	X						X					X
SC-5(b)	X						X					X
SC-7(a)	X						X		X	X	X	X
SC-7(b)	X						X		X	X	X	X
SC-7(c)	X						X		X	X	X	X
SC-7(3)	X						X		X	X	X	X
SC-7(4)(a)	X				X	X	X	X		X	X	X
SC-7(4)(b)	X				X	X	X	X		X	X	X
SC-7(4)(c)	X				X	X	X	X		X	X	X
SC-7(4)(d)	X				X	X	X	X		X	X	X
SC-7(4)(e)	X				X	X	X	X		X	X	X
SC-7(4)(f)	X				X	X	X	X		X	X	X
SC-7(4)(g)	X				X	X	X	X		X	X	X
SC-7(4)(h)	X				X	X	X	X		X	X	X
SC-7(5)	X						X					X
SC-7(7)	X				X							
SC-7(8)	X						X					X
SC-7(10)(a)	X						X			X		
SC-7(10)(b)	X						X			X		
SC-7(12)	X						X					
SC-7(18)	X						X					X
SC-7(20)	X						X					
SC-7(21)	X						X					
SC-8	X						X					X
SC-8(1)	X						X					
SC-10	X						X		X	X		X
SC-12	X						X					
SC-12(1)	X						X		X	X		X
SC-13(a)	X						X		X	X		X
SC-13(b)	X						X		X	X		X
SC-15(a)	X						X					
SC-15(b)	X						X					
SC-17(a)	X						X					
SC-17(b)	X						X					
SC-18(a)	X						X					
SC-18(b)	X						X					
SC-20(a)	X						X					X
SC-20(b)	X						X					X
SC-21	X						X		X	X		X
SC-22	X						X					X
SC-23	X						X					
SC-24	X						X					
SC-28	X						X		X	X		X
SC-28(1)	X						X		X	X		X
SC-39	X						X					
SC-45	X						X					
SC-45(1)(a)	X						X					X
SC-45(1)(b)	X						X					X
SI-1(a)	X							X				
SI-1(b)	X							X				
SI-1(c)	X							X				
SI-2(a)	X						X					
SI-2(b)	X						X					
SI-2(c)	X						X					
SI-2(d)	X						X					
SI-2(2)	X						X					

Control ID	Implementation Status					Control Origination						
	Implemented	Partially Implemented	Planned	Alternative Implementation	N/A	Service Provider Corporate	Service Provider System Specific	Service Provider Hybrid (Corporate and System Specific)	Configured by Customer (Customer System Specific)	Provided by Customer (Customer System Specific)	Shared (Service Provider and Customer Responsibility)	Inherited from Pre-Existing Authorization
SI-2(3)(a)	X						X					
SI-2(3)(b)	X						X					
SI-3(a)	X						X		X	X		
SI-3(b)	X						X		X	X		
SI-3(c)	X						X		X	X		
SI-3(d)	X						X		X	X		
SI-4(a)	X						X		X	X		X
SI-4(b)	X						X		X	X		X
SI-4(c)	X						X		X	X		X
SI-4(d)	X						X		X	X		X
SI-4(e)	X						X		X	X		X
SI-4(f)	X						X		X	X		X
SI-4(g)	X						X		X	X		X
SI-4(1)	X						X		X	X		
SI-4(2)	X						X		X	X		
SI-4(4)(a)	X						X		X	X		X
SI-4(4)(b)	X						X		X	X		X
SI-4(5)	X						X		X	X		
SI-4(10)	X						X					X
SI-4(11)	X						X					
SI-4(12)	X						X					
SI-4(14)	X						X					X
SI-4(16)	X						X		X	X		
SI-4(18)	X						X			X		
SI-4(19)	X						X					
SI-4(20)	X						X					
SI-4(22)(a)	X						X					
SI-4(22)(b)	X						X					
SI-4(23)	X						X					
SI-5(a)	X						X					
SI-5(b)	X						X					
SI-5(c)	X						X					
SI-5(d)	X						X					
SI-5(1)	X							X				
SI-6(a)	X						X		X	X		
SI-6(b)	X						X		X	X		
SI-6(c)	X						X		X	X		
SI-6(d)	X						X		X	X		
SI-7(a)	X						X					
SI-7(b)	X						X					
SI-7(1)	X						X					
SI-7(2)	X						X					
SI-7(5)	X						X					
SI-7(7)	X							X		X		
SI-7(15)	X						X					
SI-8(a)	X						X					X
SI-8(b)	X						X					X
SI-8(2)	X						X					X
SI-10	X						X					
SI-11(a)	X						X					
SI-11(b)	X						X					
SI-12	X											X
SI-16	X						X					
SR-1(a)	X							X				
SR-1(b)	X							X				
SR-1(c)	X							X				
SR-2(a)	X						X					
SR-2(b)	X						X					
SR-2(c)	X						X					
SR-2(1)	X						X					

Control ID	Implementation Status					Control Origination						
	Implemented	Partially Implemented	Planned	Alternative Implementation	N/A	Service Provider Corporate	Service Provider System Specific	Service Provider Hybrid (Corporate and System Specific)	Configured by Customer (Customer System Specific)	Provided by Customer (Customer System Specific)	Shared (Service Provider and Customer Responsibility)	Inherited from Pre-Existing Authorization
SR-3(a)	X						X					
SR-3(b)	X						X					
SR-3(c)	X						X					
SR-5	X						X					X
SR-6	X						X					
SR-8	X						X					
SR-9	X						X					
SR-9(1)	X						X					
SR-10	X						X					
SR-11(a)	X						X					
SR-11(b)	X						X					
SR-11(1)	X						X					
SR-11(2)	X						X					X
SR-12	X											X

FedRAMP High Customer Responsibility Matrix (CRM) Worksheet

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
AC-1(a)	Yes	
AC-1(b)	Yes	
AC-1(c)	Yes	
AC-2(a)	Yes	
AC-2(b)	Yes	
AC-2(c)	Yes	
AC-2(d)	Yes	
AC-2(e)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups)
AC-2(f)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups)
AC-2(g)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://docs.databricks.com/administration-guide/account-settings/audit-logs.html#configure-audit-log-delivery) and monitoring the use of their accounts. Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups)
AC-2(h)	Partial	
AC-2(i)	Yes	
AC-2(j)	Yes	
AC-2(k)	Yes	
AC-2(l)	Yes	
AC-2(1)	Partial	us/azure/databricks/security/auth . us/azure/databricks/security/auth . Customers are also responsible for permitting access of Databricks employees to their environment and for enforcing the access expiration (https://learn.microsoft.com/en-us/azure/databricks/admin/workspace/workspace-access).
AC-2(2)	Partial	
AC-2(3)(a)	Yes	
AC-2(3)(b)	Yes	
AC-2(3)(c)	Yes	
AC-2(3)(d)	Partial	Customers are responsible for disabling their user's access to Databricks after a period of inactivity.
AC-2(4)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and auditing the creation, modification, enabling, disabling, and removal of their accounts.
AC-2(5)	Partial	Customers are responsible for initiating a session timeout within their identity provider.
AC-2(7)(a)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
AC-2(7)(b)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
AC-2(7)(c)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
AC-2(7)(d)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
AC-2(9)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
AC-2(11)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
AC-2(12)(a)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and monitoring the use of their accounts
AC-2(12)(b)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and monitoring the use of their accounts
AC-2(13)	Yes	
AC-3	Partial	Customers are responsible for configuring their own identity provider.
AC-4	Partial	Customers are responsible for managing the networking of their Azure account. Customers are responsible for managing connections to/from their Databricks workspace including, but not limited to the following: •IP access lists to further restrict access to their Databricks instance (https://learn.microsoft.com/en-us/azure/databricks/security/network/front-end/ip-access-list). •Mounting their data sources (https://learn.microsoft.com/en-us/azure/databricks/connect/).
AC-4(4)	Yes	
AC-4(21)	Partial	Customers are responsible for managing the networking of their Azure account. Customers are responsible for managing connections to/from their Databricks workspace including, but not limited to the following: •IP access lists to further restrict access to their Databricks instance (https://learn.microsoft.com/en-us/azure/databricks/security/network/front-end/ip-access-list). •Mounting their data sources (https://learn.microsoft.com/en-us/azure/databricks/connect/). Customers are responsible for managing their Databricks user's access including separating duties as appropriate. •User Entitlements: https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/users •Group Entitlements: https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups
AC-5(a)	Partial	

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
		Customers are responsible for managing their Databricks user's access including separating duties as appropriate.
AC-5(b)	Partial	<ul style="list-style-type: none"> •User Entitlements: https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/users •Group Entitlements: https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups •User Entitlements: https://docs.databricks.com/administration-guide/users-groups/users.html#manage-user-entitlements •Group Entitlements: https://docs.databricks.com/administration-guide/users-groups/groups.html#manage-a-groups-entitlements
AC-6	Partial	
AC-6(1)(a)	Yes	
AC-6(1)(b)	Yes	
AC-6(2)	Yes	
AC-6(3)	Yes	
		functions.
AC-6(5)	Partial	<ul style="list-style-type: none"> •User Entitlements: https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/users •Group Entitlements: https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups
AC-6(7)(a)	Yes	
AC-6(7)(b)	Yes	
AC-6(8)	Yes	
AC-6(9)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs)
AC-6(10)	Yes	
AC-7(a)	Partial	Customers are responsible for limiting the number of consecutive invalid logon attempts to the Databricks web application using their single sign-on solution.
AC-7(b)	Partial	Customers are responsible for locking accounts to the Databricks web application using their single sign-on solution.
AC-8(a)	Partial	Customers are responsible for configuring their single sign-on solution to display a system use notification prior to redirecting users to the Databricks web application.
AC-8(b)	Yes	Customers are responsible for configuring their single sign-on solution to display a system use notification prior to redirecting users to the Databricks web application.
AC-8(c)	Yes	There are no publicly-accessible systems, as all GovCloud systems are only accessible internally.
AC-10	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and monitoring access to their Databricks workspace.
AC-11(a)	Partial	Customers are responsible for initiating a session timeout within their identity provider.
AC-11(b)	Partial	Customers are responsible for initiating a session timeout within their identity provider.
AC-11(1)	Partial	Customers are responsible for initiating a session timeout within their identity provider.
AC-12	Partial	Customers are responsible for initiating a session timeout within their identity provider.
AC-14(a)	Partial	Customers are responsible for configuring IP access lists if they would like to further restrict access to their Databricks instance (https://learn.microsoft.com/en-us/azure/databricks/security/network/front-end/ip-access-list).
AC-14(b)	Partial	Customers are responsible for configuring IP access lists if they would like to further restrict access to their Databricks instance (https://learn.microsoft.com/en-us/azure/databricks/security/network/front-end/ip-access-list).
AC-17(a)	Partial	Customers are responsible for configuring IP access lists if they would like to further restrict access to their Databricks instance (https://learn.microsoft.com/en-us/azure/databricks/security/network/front-end/ip-access-list).
AC-17(b)	Partial	Customers are responsible for configuring IP access lists if they would like to further restrict access to their Databricks instance (https://learn.microsoft.com/en-us/azure/databricks/security/network/front-end/ip-access-list).
AC-17(1)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and monitoring access to their Databricks workspace.
AC-17(2)	Yes	
AC-17(3)	Yes	
AC-17(4)(a)	Yes	
AC-17(4)(b)	Yes	
AC-18(a)	Yes	
AC-18(b)	Yes	
AC-18(1)	Yes	
AC-18(3)	Yes	
AC-18(4)	Yes	
AC-18(5)	Yes	
AC-19(a)	Yes	
AC-19(b)	Yes	
AC-19(5)	Yes	
		Customers are responsible for configuring IP access lists if they would like to further restrict access to their Databricks instance (https://learn.microsoft.com/en-us/azure/databricks/security/network/front-end/ip-access-list).
		Customers are responsible for configuring their single sign-on solution (https://learn.microsoft.com/en-us/azure/databricks/security/auth/).
		Customers are responsible for assigning users access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
AC-20(a)	Partial	
AC-20(b)	Yes	

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		Customers are responsible for configuring IP access lists if they would like to further restrict access to their Databricks instance (https://learn.microsoft.com/en-us/azure/databricks/security/network/front-end/ip-access-list). Customers are responsible for configuring their single sign-on solution (https://learn.microsoft.com/en-us/azure/databricks/security/auth).
AC-20(1)(a)	Partial	Customers are responsible for assigning users access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
AC-20(1)(b)	Partial	us/azure/databricks/connect/ .
AC-20(2)	Yes	
		Customers are responsible for using Databricks workspace access controls (https://learn.microsoft.com/en-us/azure/databricks/security/auth/access-control/) when sharing folders and notebooks. Customers are responsible for acting as a "Data Provider" when using Delta Sharing (https://learn.microsoft.com/en-us/azure/databricks/delta-sharing/recipient).
AC-21(a)	Partial	Customers are responsible for using Databricks workspace access controls (https://learn.microsoft.com/en-us/azure/databricks/security/auth/access-control/) when sharing folders and notebooks. Customers are responsible for acting as a "Data Provider" when using Delta Sharing (https://learn.microsoft.com/en-us/azure/databricks/delta-sharing/recipient).
AC-21(b)	Partial	
AC-22(a)	Yes	
AC-22(b)	Yes	
AC-22(c)	Yes	
AC-22(d)	Yes	
AT-1(a)	Yes	
AT-1(b)	Yes	
AT-1(c)	Yes	
AT-2(a)	Partial	Customers are responsible for providing basic security awareness training to their users as part of the initial training for new users.
AT-2(b)	Partial	Customers are responsible for providing basic security awareness training to their users as part of the initial training for new users.
AT-2(c)	Partial	Customers are responsible for updating basic security awareness training to their users.
AT-2(d)	Partial	Customers are responsible for updating basic security awareness training to their users.
AT-2(2)	Partial	Customers are responsible for providing security awareness training on recognizing and reporting potential indicators of insider threat to their users.
AT-2(3)	Partial	Customers are responsible for incorporating training on social engineering and mining for their users.
AT-3(a)	Partial	Customers are responsible for providing role-based security training to their personnel with assigned security roles and responsibilities and updating it appropriately.
AT-3(b)	Partial	Customers are responsible for providing role-based security training to their personnel with assigned security roles and responsibilities and updating it appropriately.
AT-3(c)	Partial	Customers are responsible for providing role-based security training to their personnel with assigned security roles and responsibilities and updating it appropriately.
AT-4(a)	Partial	Customers are responsible for documenting, monitoring, and retaining security training records for their users.
AT-4(b)	Partial	Customers are responsible for documenting, monitoring, and retaining security training records for their users.
AU-1(a)	Yes	
AU-1(b)	Yes	
AU-1(c)	Yes	
AU-2(a)	Yes	
AU-2(b)	Yes	
AU-2(c)	Yes	
AU-2(d)	Yes	
AU-2(e)	Yes	
AU-3(a)	Yes	
AU-3(b)	Yes	
AU-3(c)	Yes	
AU-3(d)	Yes	
AU-3(e)	Yes	
AU-3(f)	Yes	
AU-3(1)	Yes	
AU-4	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
AU-5(a)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
AU-5(b)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
AU-5(1)	Yes	
AU-5(2)	Yes	
AU-6(a)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
AU-6(b)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
AU-6(c)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
AU-6(1)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
AU-6(3)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
AU-6(4)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
AU-6(5)	Yes	
AU-6(6)	Yes	
AU-6(7)	Yes	
AU-7(a)	Yes	
AU-7(b)	Yes	
AU-7(1)	Yes	
AU-8(a)	Yes	
AU-8(b)	Yes	
AU-9(a)	Yes	
AU-9(b)	Yes	
AU-9(2)	Yes	
AU-9(3)	Yes	
AU-9(4)	Yes	
AU-10	Yes	
AU-11	Yes	
AU-12(a)	Yes	
AU-12(b)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
AU-12(c)	Yes	
AU-12(1)	Yes	
AU-12(3)	Yes	
CA-1(a)	Yes	
CA-1(b)	Yes	
CA-1(c)	Yes	
CA-2(a)	Yes	
CA-2(b)	Yes	
CA-2(c)	Yes	
CA-2(d)	Yes	
CA-2(e)	Yes	
CA-2(f)	Yes	
CA-2(1)	Yes	
CA-2(2)	Yes	
CA-2(3)	Yes	
CA-3(a)	Partial	Use of Model Serving in Databricks (both internally and externally hosted models) is a customer responsibility. As such, customers should evaluate the risks and benefits of using this service in accordance with their organization's policies and risk posture
CA-3(b)	Yes	
CA-3(c)	Yes	
CA-3(6)	Yes	
CA-5(a)	Yes	
CA-5(b)	Yes	
CA-6(a)	Yes	
CA-6(b)	Yes	
CA-6(c)	Yes	
CA-6(d)	Yes	
CA-6(e)	Yes	
CA-7(a)	Yes	
CA-7(b)	Yes	
CA-7(c)	Yes	
CA-7(d)	Yes	
CA-7(e)	Yes	
CA-7(f)	Yes	
CA-7(g)	Yes	
CA-7(1)	Yes	
CA-7(4)(a)	Yes	
CA-7(4)(b)	Yes	

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
CA-7(4)(c)	Yes	
CA-8	Yes	
CA-8(1)	Yes	
CA-8(2)	Yes	
CA-9(a)	Yes	
CA-9(b)	Yes	
CA-9(c)	Yes	
CA-9(d)	Yes	
CM-1(a)	Yes	
CM-1(b)	Yes	
CM-1(c)	Yes	
CM-2(a)	Yes	
CM-2(b)	Yes	
CM-2(2)	Yes	
CM-2(3)	Yes	
CM-2(7)(a)	Yes	
CM-2(7)(b)	Yes	
CM-3(a)	Yes	
CM-3(b)	Yes	
CM-3(c)	Yes	
CM-3(d)	Yes	
CM-3(e)	Yes	
CM-3(f)	Yes	
CM-3(g)	Yes	
CM-3(1)(a)	Yes	
CM-3(1)(b)	Yes	
CM-3(1)(c)	Yes	
CM-3(1)(d)	Yes	
CM-3(1)(e)	Yes	
CM-3(1)(f)	Yes	
CM-3(2)	Yes	
CM-3(4)	Yes	
CM-3(6)	Yes	
CM-4	Yes	
CM-4(1)	Yes	
CM-4(2)	Yes	
CM-5	Yes	
CM-5(1)(a)	Yes	
CM-5(1)(b)	Yes	
CM-5(5)(a)	Yes	
CM-5(5)(b)	Yes	
CM-6(a)	Yes	
CM-6(b)	Yes	
CM-6(c)	Yes	
CM-6(d)	Yes	
CM-6(1)	Yes	
CM-6(2)	Yes	
CM-7(a)	Yes	
CM-7(b)	Yes	
CM-7(1)(a)	Yes	
CM-7(1)(b)	Yes	
CM-7(2)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
CM-7(5)(a)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
CM-7(5)(b)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
CM-7(5)(c)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
CM-8(a)	Yes	
CM-8(b)	Yes	
CM-8(1)	Yes	
CM-8(2)	Yes	

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
		Customers are responsible for controlling the software they import. Customers are responsible configuring Databricks Audit Log Delivery (https://docs.databricks.com/administration-guide/account-settings/audit-logs.html#configure-audit-log-delivery). Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's S3 bucket.
CM-8(3)(a)	Partial	Customers are responsible for controlling the software they import. Customers are responsible configuring Databricks Audit Log Delivery (https://docs.databricks.com/administration-guide/account-settings/audit-logs.html#configure-audit-log-delivery). Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's S3 bucket.
CM-8(3)(b)	Partial	
CM-8(4)	Yes	
CM-9(a)	Yes	
CM-9(b)	Yes	
CM-9(c)	Yes	
CM-9(d)	Yes	
CM-9(e)	Yes	
CM-10(a)	Yes	
CM-10(b)	Yes	
CM-10(c)	Yes	
CM-11(a)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
CM-11(b)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
CM-11(c)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and the capacity of these logs.
CM-12(a)	Yes	
CM-12(b)	Yes	
CM-12(c)	Yes	
CM-12(1)	Yes	
CM-14	Yes	
CP-1(a)	Yes	
CP-1(b)	Yes	
CP-1(c)	Yes	
CP-2(a)	Yes	
CP-2(b)	Yes	
CP-2(c)	Yes	
CP-2(d)	Yes	
CP-2(e)	Yes	
CP-2(f)	Yes	
CP-2(g)	Yes	
CP-2(h)	Yes	
CP-2(1)	Yes	
CP-2(2)	Yes	
CP-2(3)	Partial	Customers are responsible for establishing necessary agreements with Azure and implementing a disaster recovery environment for Databricks (https://learn.microsoft.com/en-us/azure/databricks/admin/disaster-recovery) including the availability of the customer's data sources.
CP-2(5)	Partial	Customers are responsible for establishing necessary agreements with Azure and implementing a disaster recovery environment for Databricks (https://learn.microsoft.com/en-us/azure/databricks/admin/disaster-recovery) including the availability of the customer's data sources.
CP-2(8)	Yes	
CP-3(a)	Yes	
CP-3(b)	Yes	
CP-3(1)	Yes	
CP-4(a)	Yes	
CP-4(b)	Yes	
CP-4(c)	Yes	
CP-4(1)	Yes	
CP-4(2)(a)	Yes	
CP-4(2)(b)	Yes	
CP-6(a)	Partial	Customers are responsible for establishing necessary agreements with Azure and implementing a disaster recovery environment for Databricks (https://learn.microsoft.com/en-us/azure/databricks/admin/disaster-recovery) including the availability of the customer's data sources.
CP-6(b)	Partial	Customers are responsible for establishing necessary agreements with Azure and implementing a disaster recovery environment for Databricks (https://learn.microsoft.com/en-us/azure/databricks/admin/disaster-recovery) including the availability of the customer's data sources.

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
		Customers are responsible for establishing necessary agreements with Azure and implementing a disaster recovery environment for Databricks (https://learn.microsoft.com/en-us/azure/databricks/admin/disaster-recovery) including the availability of the customer's data sources.
CP-9(3)	Partial	
CP-9(5)	Yes	
CP-9(8)	Yes	
		Customers are responsible for establishing necessary agreements with Azure and implementing a disaster recovery environment for Databricks (https://learn.microsoft.com/en-us/azure/databricks/admin/disaster-recovery) including the availability of the customer's data sources.
CP-10	Partial	
CP-10(2)	Yes	
CP-10(4)	Yes	
IA-1(a)	Yes	
IA-1(b)	Yes	
IA-1(c)	Yes	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-2	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-2(1)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-2(2)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-2(5)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-2(6)(a)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-2(6)(b)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-2(8)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-2(12)	Partial	
IA-3	Yes	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-4(a)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-4(b)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-4(c)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-4(d)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-4(4)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-5(a)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-5(b)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-5(c)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-5(d)	Partial	
		us/azure/databricks/security/auth/). Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).

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		us/azure/databricks/security/auth/).
IA-5(e)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
		us/azure/databricks/security/auth/).
IA-5(f)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
		us/azure/databricks/security/auth/).
IA-5(g)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
		us/azure/databricks/security/auth/).
IA-5(h)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
		us/azure/databricks/security/auth/).
IA-5(i)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
		us/azure/databricks/security/auth/).
IA-5(1)(a)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
		us/azure/databricks/security/auth/).
IA-5(1)(b)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
		us/azure/databricks/security/auth/).
IA-5(1)(c)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
		us/azure/databricks/security/auth/).
IA-5(1)(d)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
		us/azure/databricks/security/auth/).
IA-5(1)(e)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-5(1)(f)	Yes	
IA-5(1)(g)	Yes	
IA-5(1)(h)	Yes	
IA-5(2)(a)	Yes	
IA-5(2)(b)	Yes	
		us/azure/databricks/security/auth/).
IA-5(6)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
		us/azure/databricks/security/auth/).
IA-5(7)	Partial	Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-5(8)	Partial	Customers are responsible for configuring and provisioning access to their environment.
IA-5(13)	Yes	
IA-6	Partial	us/azure/databricks/security/auth/).
		Customers are responsible for setting up single sign-on (https://learn.microsoft.com/en-us/azure/databricks/security/auth/) and ensuring their access to Databricks meets applicable federal laws, Executive Orders, directives, policies, regulations, standards, and guidance on cryptographic module authentication.
IA-7	Partial	us/azure/databricks/security/auth/).
		Customers are responsible for managing user access to Databricks and administering permissions (https://learn.microsoft.com/en-us/azure/databricks/admin/users-groups/groups).
IA-8	Partial	
IA-8(1)	No	Customers are responsible for setting up single sign-on (https://learn.microsoft.com/en-us/azure/databricks/security/auth/) using an identity provider which accepts and verifies PIV credentials (e.g., Okta).
IA-8(2)(a)	Partial	us/azure/databricks/security/auth/) using an identity provider which accepts and verifies FICAM-approved third-party credentials (e.g., Okta).
IA-8(2)(b)	No	us/azure/databricks/security/auth/) using an identity provider which accepts and verifies FICAM-approved third-party credentials (e.g., Okta).
IA-8(4)	Partial	us/azure/databricks/security/auth/) using an identity provider which accepts and verifies FICAM-approved third-party credentials (e.g., Okta).
IA-11	Yes	
IA-12(a)	Yes	
IA-12(b)	Yes	
IA-12(c)	Yes	
IA-12(2)	Yes	
IA-12(3)	Yes	
IA-12(4)	Yes	
IA-12(5)	Yes	
IR-1(a)	Yes	

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IR-1(b)	Yes	
IR-1(c)	Yes	
IR-2(a)	Yes	
IR-2(b)	Yes	
IR-2(1)	Yes	
IR-2(2)	Yes	
IR-3	Yes	
IR-3(2)	Yes	
IR-4(a)	Yes	
IR-4(b)	Yes	
IR-4(c)	Yes	
IR-4(d)	Yes	
IR-4(1)	Yes	
IR-4(2)	Yes	
IR-4(4)	Yes	
IR-4(6)	Yes	
IR-4(11)	Yes	
IR-5	Yes	
IR-5(1)	Yes	
IR-6(a)	Partial	Customers are responsible reporting incidents to Databricks.
IR-6(b)	Partial	Customers are responsible reporting incidents to Databricks.
IR-6(1)	Yes	
IR-6(3)	Yes	
IR-7	Yes	
IR-7(1)	Yes	
IR-8(a)	Yes	
IR-8(b)	Yes	
IR-8(c)	Yes	
IR-8(d)	Yes	
IR-8(e)	Yes	
IR-9(a)	Yes	
IR-9(b)	Yes	
IR-9(c)	Yes	
IR-9(d)	Yes	
IR-9(e)	Yes	
IR-9(f)	Yes	
IR-9(g)	Yes	
IR-9(2)	Yes	
IR-9(3)	Yes	
IR-9(4)	Yes	
MA-1(a)	Yes	
MA-1(b)	Yes	
MA-1(c)	Yes	
MA-2(a)	Yes	
MA-2(b)	Yes	
MA-2(c)	Yes	
MA-2(d)	Yes	
MA-2(e)	Yes	
MA-2(f)	Yes	
MA-2(2)(a)	Yes	
MA-2(2)(b)	Yes	
MA-3(a)	Yes	
MA-3(b)	Yes	
MA-3(1)	Yes	
MA-3(2)	Yes	
MA-3(3)(a)	Yes	
MA-3(3)(b)	Yes	
MA-3(3)(c)	Yes	
MA-3(3)(d)	Yes	
MA-4(a)	Yes	
MA-4(b)	Yes	
MA-4(c)	Yes	
MA-4(d)	Yes	
MA-4(e)	Yes	
MA-4(3)(a)	Yes	
MA-4(3)(b)	Yes	

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
MA-5(a)	Yes	
MA-5(b)	Yes	
MA-5(c)	Yes	
MA-5(1)(a)	Yes	
MA-5(1)(b)	Yes	
MA-5(5)	Yes	
MA-6	Yes	
MP-1(a)	Yes	
MP-1(b)	Yes	
MP-1(c)	Yes	
MP-2	Yes	
MP-3(a)	Yes	
MP-3(b)	Yes	
MP-4(a)	Yes	
MP-4(b)	Yes	
MP-5(a)	Yes	
MP-5(b)	Yes	
MP-5(c)	Yes	
MP-5(d)	Yes	
MP-6(a)	Yes	
MP-6(b)	Yes	
MP-6(1)	Yes	
MP-6(2)	Yes	
MP-6(3)	Yes	
MP-7(a)	Yes	
MP-7(b)	Yes	
PE-1(a)	Yes	
PE-1(b)	Yes	
PE-1(c)	Yes	
PE-2(a)	Yes	
PE-2(b)	Yes	
PE-2(c)	Yes	
PE-2(d)	Yes	
PE-3(a)	Yes	
PE-3(b)	Yes	
PE-3(c)	Yes	
PE-3(d)	Yes	
PE-3(e)	Yes	
PE-3(f)	Yes	
PE-3(g)	Yes	
PE-3(1)	Yes	
PE-4	Yes	
PE-5	Yes	
PE-6(a)	Yes	
PE-6(b)	Yes	
PE-6(c)	Yes	
PE-6(1)	Yes	
PE-6(4)	Yes	
PE-8(a)	Yes	
PE-8(b)	Yes	
PE-8(c)	Yes	
PE-8(1)	Yes	
PE-9	Yes	
PE-10(a)	Yes	
PE-10(b)	Yes	
PE-10(c)	Yes	
PE-11	Yes	
PE-11(1)	Yes	
PE-12	Yes	
PE-13	Yes	
PE-13(1)	Yes	
PE-13(2)(a)	Yes	
PE-13(2)(b)	Yes	
PE-14(a)	Yes	
PE-14(b)	Yes	
PE-14(2)	Yes	

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
PE-15	Yes	
PE-15(1)	Yes	
PE-16(a)	Yes	
PE-16(b)	Yes	
PE-17(a)	Yes	
PE-17(b)	Yes	
PE-17(c)	Yes	
PE-17(d)	Yes	
PE-18	Yes	
PL-1(a)	Yes	
PL-1(b)	Yes	
PL-1(c)	Yes	
PL-2(a)	Yes	
PL-2(b)	Yes	
PL-2(c)	Yes	
PL-2(d)	Yes	
PL-2(e)	Yes	
PL-4(a)	Yes	
PL-4(b)	Yes	
PL-4(c)	Yes	
PL-4(d)	Yes	
PL-4(1)(a)	Yes	
PL-4(1)(b)	Yes	
PL-4(1)(c)	Yes	
PL-8(a)	Yes	
PL-8(b)	Yes	
PL-8(c)	Yes	
PL-10	Yes	
PL-11	Yes	
PS-1(a)	Yes	
PS-1(b)	Yes	
PS-1(c)	Yes	
PS-2(a)	Yes	
PS-2(b)	Yes	
PS-2(c)	Yes	
PS-3(a)	Yes	
PS-3(b)	Yes	
PS-3(3)(a)	Yes	
PS-3(3)(b)	Yes	
PS-3(4)	Yes	
PS-4(a)	Yes	
PS-4(b)	Yes	
PS-4(c)	Yes	
PS-4(d)	Yes	
PS-4(e)	Yes	
PS-4(2)	Yes	
PS-5(a)	Yes	
PS-5(b)	Yes	
PS-5(c)	Yes	
PS-5(d)	Yes	
PS-6(a)	Yes	
PS-6(b)	Yes	
PS-6(c)	Yes	
PS-7(a)	Yes	
PS-7(b)	Yes	
PS-7(c)	Yes	
PS-7(d)	Yes	
PS-7(e)	Yes	
PS-8(a)	Yes	
PS-8(b)	Yes	
PS-9	Yes	
RA-1(a)	Yes	
RA-1(b)	Yes	
RA-1(c)	Yes	
RA-2(a)	Yes	
RA-2(b)	Yes	

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
RA-2(c)	Yes	
RA-3(a)	Yes	
RA-3(b)	Yes	
RA-3(c)	Yes	
RA-3(d)	Yes	
RA-3(e)	Yes	
RA-3(f)	Yes	
RA-3(1)(a)	Yes	
RA-3(1)(b)	Yes	
RA-5(a)	Partial	Customers are responsible for performing vulnerability scans on their own VPCs.
RA-5(b)	Yes	
RA-5(c)	Yes	
RA-5(d)	Partial	Customers are responsible for choosing the desired Databricks Runtime (DBR) version when configuring compute resources.
RA-5(e)	Yes	
RA-5(f)	Yes	
RA-5(2)	Yes	
RA-5(3)	Yes	
RA-5(4)	Yes	
RA-5(5)	Yes	
RA-5(8)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and monitoring these logs.
RA-5(11)	Yes	
RA-7	Yes	
RA-9	Yes	
SA-1(a)	Yes	
SA-1(b)	Yes	
SA-1(c)	Yes	
SA-2(a)	Yes	
SA-2(b)	Yes	
SA-2(c)	Yes	
SA-3(a)	Yes	
SA-3(b)	Yes	
SA-3(c)	Yes	
SA-3(d)	Yes	
SA-4(a)	Yes	
SA-4(b)	Yes	
SA-4(c)	Yes	
SA-4(d)	Yes	
SA-4(e)	Yes	
SA-4(f)	Yes	
SA-4(g)	Yes	
SA-4(h)	Yes	
SA-4(i)	Yes	
SA-4(1)	Yes	
SA-4(2)	Yes	
SA-4(5)(a)	Yes	
SA-4(5)(b)	Yes	
SA-4(9)	Yes	
SA-4(10)	No	Customers are responsible for setting up single sign-on (https://docs.databricks.com/administration-guide/users-groups/single-sign-on/index.html) using an identity provider which accepts and verifies PIV credentials (e.g., Okta).
SA-5(a)	Yes	
SA-5(b)	Yes	
SA-5(c)	Yes	
SA-5(d)	Yes	
SA-8	Yes	
SA-9(a)	Yes	
SA-9(b)	Yes	
SA-9(c)	Yes	
SA-9(1)(a)	Yes	
SA-9(1)(b)	Yes	
SA-9(2)	Yes	
SA-9(3)	Yes	
SA-9(5)	Yes	
SA-9(6)	Partial	Customers are responsible for configuring and supporting their cryptographic keys.
SA-9(7)	Yes	

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
SA-9(b)	Partial	Customers are responsible for protecting the confidentiality and integrity of their data.
SA-10(a)	Yes	
SA-10(b)	Yes	
SA-10(c)	Yes	
SA-10(d)	Yes	
SA-10(e)	Yes	
SA-11(a)	Yes	
SA-11(b)	Yes	
SA-11(c)	Yes	
SA-11(d)	Yes	
SA-11(e)	Yes	
SA-11(1)	Yes	
SA-11(2)(a)	Yes	
SA-11(2)(b)	Yes	
SA-11(2)(c)	Yes	
SA-11(2)(d)	Yes	
SA-15(a)	Yes	
SA-15(b)	Yes	
SA-15(3)(a)	Yes	
SA-15(3)(b)	Yes	
SA-16	Yes	
SA-17(a)	Yes	
SA-17(b)	Yes	
SA-17(c)	Yes	
SA-21(a)	Yes	
SA-21(b)	Yes	
SA-22(a)	Yes	
SA-22(b)	Yes	
SC-1(a)	Yes	
SC-1(b)	Yes	
SC-1(c)	Yes	
SC-2	Yes	
SC-3	Yes	
SC-4	Yes	
SC-5(a)	Yes	
SC-5(b)	Yes	
SC-7(a)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs). Customers are responsible for ingesting and responding to events delivered to the customer's Blob bucket.
SC-7(b)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs). Customers are responsible for ingesting and responding to events delivered to the customer's Blob bucket.
SC-7(c)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs). Customers are responsible for ingesting and responding to events delivered to the customer's Blob bucket.
SC-7(3)	Partial	Customers are responsible for managing the networking of their Azure account. Customers are responsible for controlling the software they import. Customers are responsible for managing connections to/from their Databricks workspace including, but not limited to the following: <ul style="list-style-type: none"> IP access lists to further restrict access to their Databricks instance (https://learn.microsoft.com/en-us/azure/databricks/security/network/front-end/ip-access-list). Mounting their data sources (https://learn.microsoft.com/en-us/azure/databricks/connect/
SC-7(4)(a)	Yes	Customers have the option of using a Databricks managed VNet for the data plane classic compute or using Customer Managed VNet.
SC-7(4)(b)	Yes	Customers have the option of using a Databricks managed VNet for the data plane classic compute or using Customer Managed VNet.
SC-7(4)(c)	Yes	Customers have the option of using a Databricks managed VNet for the data plane classic compute or using Customer Managed VNet.
SC-7(4)(d)	Yes	Customers have the option of using a Databricks managed VNet for the data plane classic compute or using Customer Managed VNet.
SC-7(4)(e)	Yes	Customers have the option of using a Databricks managed VNet for the data plane classic compute or using Customer Managed VNet.
SC-7(4)(f)	Yes	Customers have the option of using a Databricks managed VNet for the data plane classic compute or using Customer Managed VNet.
SC-7(4)(g)	Yes	Customers have the option of using a Databricks managed VNet for the data plane classic compute or using Customer Managed VNet.

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
SC-7(4)(h)	Yes	Customers have the option of using a Databricks managed VNet for the data plane classic compute or using Customer Managed VNet.
SC-7(5)	Yes	
SC-7(7)	Yes	
SC-7(8)	Yes	
SC-7(10)(a)	Partial	The customer is responsible for protecting their data from exfiltration, such as mechanisms described in the following link: https://www.databricks.com/blog/data-exfiltration-protection-with-azure-databricks
SC-7(10)(b)	Partial	
SC-7(12)	Yes	Customers may perform exfiltration tests on their instance at their desired frequency.
SC-7(18)	Yes	
SC-7(20)	Yes	
SC-7(21)	Yes	
SC-8	Yes	
SC-8(1)	Yes	
SC-10	Partial	Customers are responsible for initiating a session timeout within their identity provider.
SC-12	Yes	
SC-12(1)	Partial	Customers are responsible for configuring and supporting their cryptographic keys.
SC-12(6)	Partial	Customers are responsible for configuring and supporting their cryptographic keys.
SC-13(a)	Yes	Blob: Customers are responsible for allowing Databricks to GetBucketLocation to ensure Databricks uses the appropriate Blob endpoint for Audit Log Delivery.
SC-13(b)	Partial	Blob: Customers are responsible for allowing Databricks to GetBucketLocation to ensure Databricks uses the appropriate Blob endpoint for Audit Log Delivery.
SC-15(a)	Yes	
SC-15(b)	Yes	
SC-17(a)	Yes	
SC-17(b)	Yes	
SC-18(a)	Yes	
SC-18(b)	Yes	
SC-18(2)	Yes	
SC-18(3)	Yes	
SC-18(4)	Yes	
SC-20(a)	Yes	
SC-20(b)	Yes	
SC-21	Partial	us/azure/databricks/query-federation/networking) and ensuring the Databricks data plane components request and perform data origin authentication and data integrity verification on the name/address resolution responses for the system receives from authoritative sources.
SC-22	Yes	
SC-23	Yes	
SC-24	Yes	
SC-28	Partial	Customers are responsible for protecting the confidentiality and integrity of their data sources, such as Google BigQuery, MongoDB, Amazon Redshift, CSV files, images, JSON files, MLflow experiments, Parquet files, XML files, and Zip files. (https://learn.microsoft.com/en-us/azure/databricks/connect/).
SC-28(1)	Partial	Customers are responsible for protecting the confidentiality and integrity of their data sources, such as Google BigQuery, MongoDB, Amazon Redshift, CSV files, images, JSON files, MLflow experiments, Parquet files, XML files, and Zip files. (https://learn.microsoft.com/en-us/azure/databricks/connect/).
SC-39	Yes	
SC-45	Yes	
SC-45(1)(a)	Yes	
SC-45(1)(b)	Yes	
SC-46	Yes	
SI-1(a)	Yes	
SI-1(b)	Yes	
SI-1(c)	Yes	
SI-2(a)	Yes	
SI-2(b)	Yes	
SI-2(c)	Yes	
SI-2(d)	Yes	
SI-2(2)	Yes	
SI-2(3)(a)	Yes	
SI-2(3)(b)	Yes	
SI-3(a)	Yes	
SI-3(b)	Yes	
SI-3(c)	Partial	Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts. Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
		Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts.
SI-3(d)	Partial	Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.
		Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts.
SI-4(a)	Partial	Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.
SI-4(b)	Yes	
		Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts.
SI-4(c)	Partial	Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.
SI-4(d)	Yes	
SI-4(e)	Yes	
SI-4(f)	Yes	
SI-4(g)	Yes	
		Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts.
SI-4(1)	Partial	Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.
		Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts.
SI-4(2)	Partial	Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.
		Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts.
SI-4(4)(a)	Partial	Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.
		Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts.
SI-4(4)(b)	Partial	Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.
		Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts.
SI-4(5)	Partial	Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.
SI-4(10)	Yes	
SI-4(11)	Yes	
SI-4(12)	Yes	
SI-4(14)	Yes	
		Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts.
SI-4(16)	Partial	Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.
		The customer is responsible for protecting their data from exfiltration, such as mechanisms described in the following link: https://www.databricks.com/blog/data-exfiltration-protection-with-azure-databricks
SI-4(18)	Partial	
SI-4(19)	Yes	
SI-4(20)	Yes	
SI-4(22)(a)	Yes	
SI-4(22)(b)	Yes	
SI-4(23)	Yes	
SI-5(a)	Yes	
SI-5(b)	Yes	
SI-5(c)	Yes	
SI-5(d)	Yes	
SI-5(1)	Yes	
SI-6(a)	Yes	
SI-6(b)	Yes	
		Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts.
SI-6(c)	Partial	Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.
		Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts.
SI-6(d)	Partial	Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.
SI-7(a)	Yes	
SI-7(b)	Yes	
SI-7(1)	Yes	
SI-7(2)	Yes	
SI-7(5)	Yes	
		Customers are responsible configuring Databricks Audit Log Delivery (https://learn.microsoft.com/en-us/azure/databricks/admin/account-settings/audit-logs) and reviewing the anti-virus alerts.
SI-7(7)	Partial	Customers are responsible for ingesting and responding to Capsule8 alerts delivered to the customer's Blob bucket.
SI-7(15)	Yes	
SI-8(a)	Yes	

Control ID	Can Be Inherited from CSP	Specific Inheritance and Customer Agency/CSP Responsibilities
SI-8(b)	Yes	
SI-8(2)	Yes	
SI-10	Yes	
SI-11(a)	Yes	
SI-11(b)	Yes	
SI-12	Yes	
SI-16	Yes	
SR-1(a)	Yes	
SR-1(b)	Yes	
SR-1(c)	Yes	
SR-2(a)	Yes	
SR-2(b)	Yes	
SR-2(c)	Yes	
SR-2(1)	Yes	
SR-3(a)	Yes	
SR-3(b)	Yes	
SR-3(c)	Yes	
SR-5	Yes	
SR-6	Yes	
SR-8	Yes	
SR-9	Yes	
SR-9(1)	Yes	
SR-10	Yes	
SR-11(a)	Yes	
SR-11(b)	Yes	
SR-11(1)	Yes	
SR-11(2)	Yes	
SR-12	Yes	